

DOCKET NO: L0532/70010US00

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Henry F. McInerney, et al.

Serial No:

09/556,280

Confirmation No:

7997

Filed:

April 24, 2000

For:

PORTABLE AUTHENTICATION DEVICE AND

METHOD OF AUTHENTICATING PRODUCTS OR

PRODUCT PACKAGING

Examiner:

Rosenberger, Richard A.

Art Unit:

2877

## **CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, P.Q. Box 1450, Alexandria, VA 22313-1450, on the 13 day of April, 2004.

Neil P. Ferraro

Commissioner For Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Transmitted herewith are the following documents:

- 1. Information Disclosure Statement;
- 2. PTO Form 1449 with cited references; and
- 3. Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

The fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) is enclosed. If the fee is insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825.

Respectfully submitted,

Henry F. McInerney, et al., Applicants

By:

Neil P. Ferraro, Reg. No.: 39,188 Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue

Boston, Massachusetts 02210-2211

Telephone: (617)720-3500

Date: April 13, 2004

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DOCKET NO: L00532/70010/NPF

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Neil P. Ferraro

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicants request consideration of this Information Disclosure Statement.

# PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed more than three months after the filing date of this application and after the mailing date of the first Office Action, but before the mailing date of either a final action under 37 C.F.R. §1.113 or a Notice of Allowance under 37 C.F.R. §1.311, or an action that otherwise closes prosecution in this application. The fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) is enclosed.

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- 2 -Art Unit: 2877

### PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

The Applicant hereby makes the following additional information of record in the above-identified application: International Search Report for International Application No. PCT/US 03/31877 dated March 26, 2004.

The Applicants would also like to bring to the Examiners attention the following copending applications that may contain subject matter relating to this application:

Serial No.	Filing Date	Inventor(s)	Atty. Docket No.
10/356,387	Jan. 31, 2003	•	L00532/70032US00
(Now published as	US-2004-0000787-A1		1449)
10/274,456 (Now published as	Oct. 18, 2002 US-2004-0000787-A1	_	
10/212,334	August 5, 2002	_	L0532.70023US00
(Now published as	US-2004-0023397-A1		1449)

### PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

- 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicants make no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicants make no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicants make no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicants, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted, Henry F. McInerney, et al., *Applicants* 

Neil P. Ferraro, Reg. No. 39,188

WOLF, GREENFIELD & SACKS, P.C.

600 Atlantic Avenue

Boston, Massachusetts 02210-2211

Telephone: (617) 720-3500

Docket No. L00532/70010/NPF

Date: April 13, 2004

XNDDX

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FORM PTO-1446 A and B (Modified)  INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICATION NO.: 09/556,280 ATTY. DO		CKET NO.:L0532.70010US00					
		FILING DATE: April 24, 2000 CONFIRMA		ATION NO.: 7997					
		APPLICANT: Henry F. McInerney, et al.							
Sheet 1 of 2			GROUP ART UNIT: 2877 EXAMIN		EXAMINE	ER: Rosenberger, Richard A.			
				U.S.	PATENT DOCUMENTS				
Examiner 5	Cite	U.S. Patent Docume		ent	Name of Patentee or Applicant of Cited		Date of Publication or of issue		
	No.	1	Number	Kind Code	Document		of Cited Document MM-DD-YYYY		
***	C1	5,574,79	0		Liang et al. 11-12-1996				
	C2	6,203,06	9	B1	Outwater et al. 03-20-2001			_	
	C3	2003/0112423 A1		Vig et al.		06-19-2003			
	C4	2004/000	00787	A1	Vig et al.				
	C5	2004/002	23397	A1 Vig et al. 02-05-2004		02-05-2004			
				FOREIG	GN PATENT DOCUMENTS				
Examiner's	Cite	Foreign Patent Document		nent	Name of Patentee or Applicant of Cited		Date of Publication of Translation	ation	
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Examiner's Initials	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.  Translation (Y/N)							
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publisher, city and/or country where published.

EXAMINER	DATE CONSIDERED

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

[NOTE - Must provide a copy of any patent, publication, other information listed, even if it was previously submitted to, or cited by, the U.S. Patent Office in an earlier application, unless the earlier application is identified by the IDS and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application.]

<sup>\*</sup>a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. \_\_\_, filed \_\_\_, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).